PEF	ORM PTO-1449	(MODIFIED)
OCT 2 7 2003	₩FORMATION	DISCLOSUR

MFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.:	SERIAL NO.:						
09469/014001	10/082,758						
APPLICANT:							
Chui-Shan TER	Chui-Shan TERESA LAM et al.						
FILING DATE:	ART UNIT:						
2/25/2002	3621						

U.S. PATENT DOCUMENTS							
REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME				
A1	2001/0019614 A1	09/06/2001	Madoukh				
A2	2001/0026619 A1	10/04/2001	Howard, JR. et al.				
	A1	REF DOCUMENT NO. A1 2001/0019614 A1	REF DOCUMENT NO. DATE A1 2001/0019614 A1 09/06/2001				

FOREIGN PATENT DOCUMENTS							
EXAMINER	TRANSLATI	ON					
INITIALS		•			YES	NO	
** ::							
		i				İ	
	 						
						·	

OTHER DOCUMENTS						
EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)				
af	А3	ISA/European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages				

EXAMINER:	DATE CONSIDERED:
0-19-	m/Mel!
EXAMINER: Initial if reference considered, who	ether or not citation is in conformance with
MPEP 609. Draw line through citation if not in	conformance and not considered. Include
conv of this form with novt communication to	

	SUBSTITUTE FOR	U.S. DEPARTMENT OF COMMERCE	Compl	lete if Known
	FORM 1449 (08-00)	Patent and Trademark Office	Application Number	10/082,758
			Filing Date	February 25, 2002
0		ATION DISCLOSURE ENT BY APPLICANT	First Named Inventor	Chui-Shan Teresa LAM
	0 6 2000 BR. (use	ENI DI AITLICANI	Group Art Unit	2161
	(use	as many sheets as necessary)	Examiner Name	
Es.	Sheet 1	of 1	Attorney Docket Number	09469.014001; 97.0013

	U.S. PATENT DOCUMENTS							
		U.S. Patent Document						
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages			
-					MAY 0 8 2002			
		- · · · · · · · · · · · · · · · · · · ·			Technology Center			

FOREIGN PATENT DOCUMENTS							
		F	oreign Paten	t Document			
Examiner initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	т"
			<u> </u>				

Examiner initials	Cite No.	References	T
Ohi	ΑI	nCipher Corporation, Ltd.; "Secure Execution Engine (S.E.E.) White Paper;" Copyright 2001; nCipher Corporation Limited; 12 pages	
\int	A2	nCipher Inc.; "Strengthen and Manage the Security of Your Public Key Infrastructure with nCipher;" Copyright 2001; nCipher Inc.; 8 pages	
	А3	nCipher, Inc. "nShield Hardware Security Module;" Copyright 2001; nCipher Inc., 2 pages	
1	A4	nCipher Corporation Limited; "nCipher Security World White Paper;" Copyright 2001; nCipher Corporation Limited; 12 pages	

Examiner Signature	a	Date Considered	12/2/041

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{**} Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

						Docket Number (Optional) Application Number 09469.0140Q1 10/082,758					
011	TAT	QRM.	ATION DISCLOSURE	CITATION		Applicant(s)					
		45)	(Use several sheets if necessa				an Teresa LAM,				
FFD 1 9	2002	9			Filing Date 02/25/2002			Group Art Unit 2161			
FEB 1.2	ZUVA	-44		<u> </u>		02/25/2002 2161					
		\$\vec{F}			U.S. PAT	ENT DOCUMENTS				•	
EXAME	REP	7-	DOCUMENT NUMBER DATE			NAME	CLASS	SUBCLASS	FILING DA		
	 	+-		 			•		I ATROTA	JAIL .	
		L.,		<u> </u>				<u> </u>			
								1		:=	
		 								, .	
	-	 	<u> </u>								
		+					DI	CEIV	/FD		
		+							:. '	, 11"	
		<u> </u>			<u> </u>		1	EB 1 82		w.	
<u> </u>	ļ	1			<u> </u>		- GR	OUP (600		
		<u>.</u>									
					FOREIG	N PATENT DOCUME	NTS				
an	REF		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Translati YES	NO NO	
1	Al	wo	01/17160 A1	03/08/2001	WIPO		H04L	9/00			
1	A2	wo	0 00/19652	04/06/2000	WIPO		H04K	1/00			
							1				
		1									
				·	OTHER	DOCUMENTS (Incl.	uding Author, Title, D	ate, Pertinent Pa	iges, Etc.)		
			International Search Re	nort dated 1/10	2004 (9 pg	. \					
		A3	LESCH HARVUAL SCATCH AC	.port dated 1/17/.	rood (2 hR:	··,			***		
av	/	A4	T. GREANIER; "Disco	ver the Secrets of	f the Java :	Serialization API"; A	rticles Index No. X	P-002262884 (6 pgs.)	# 1	
EXAMINE	R _	4	12		٠	DATE CONSIDERED	12/04.				
EXAMINE not consider	R: Ini red. I	tial if ci nclude	tation considered, whether copy of this form with next o	or not citation is in communication to	conforman	ce with MPEP Section (gh citation if not	in conformance	e and	
		_									

The state of the s

Form PTO-A820 (also form PTO-1449) P09A/REV04

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

. A State of the Control of the Cont

I P P P P P P P P P P P P P P P P P P P	09469.0140Q1	10/	082,758		
O INPORTATION DISCLOSURE CITATION (several sheets if necessary)	Chui-Shan Teresa LA	M, et al.			
FILE 1 2 7004 3	NG	GROUP	2161		
02/25/2002 2161					
*EXAMINER DOCUMENT NUMBER DATE	CUMENIS		SILING DATE		
DOCUMENT NUMBER DATE	NAME CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	·		· ·		
·					
		RECEIV	VED -		
		FEB 1 8			
	(ROUP	3600		
FOREIGN PATENT	OCUMENTS		<u></u>		
	TRANSI ATION				
DOCUMENT NUMBER DATE	COUNTRY	SUBCLASS	YES NO		
 			 		
			 		
OTHER DOCUMENTS (Including Author, Ti	le, Date, Pertinent Pages, Et	tc.)			
B1 L. OPYRCHAL, et al.; "Efficient Object Serialization	- Iouali Dublication No. VD-000	1747272. Danartr			
L. OPYRCHAL, et al.; "Efficient Object Serialization Engineering and Computer Science, University of Mich	igan (6 pgs.)	;242373; Deparci	aent of Electrical		
Z. CANELA, et al.; "Integrating Web-Based User Interfaces In TMN Systems"; pp. 110-119; Alcatel Alsthom Corporate Research Center, France; 1998 IEEE					
		,			
			DATE CONSIDERED M/DLO		
EXAMINER DA	TE CONSIDERED M/D/	24			

Form PTO-A820 (also form PTO-1449)

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

The standard color